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SEC Overreaching In Applying Penalty Act to Insider Trading

Counsel for the US Securities and Exchange Commission's Trial Unit He is co-author of BNA's "Inside Information: Prevention of Abuse" 1 SEC v Rosenthal, No 10-CV-01204, 2011 BL 152965 (2d Cir June 9, 2011) Sections 21(d)(3) and 21B were added to the Exchange Act in 1990 when Congress passed the Securities Law Enforcement Remedies Act

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